**BEFORE THE** ARIZONA STATE BOARD OF DISPENSING OPTICIANS 2 In the Matter of 3 Case No. 21-001 ALVERNON OPTICAL - CAMPBELL 4 2820 N. CAMPBELL **TUCSON, AZ 85719;** 5 6 ALVERNON OPTICAL CO. - MAIN 440 N. ALVERNON WAY CONSENT AGREEMENT/ ORDER **SUITE #101 TUCSON, AZ 85711;** 8 9 ALVERNON OPTICAL CO. IV - SUNRISE 4747 E SUNRISE DR. 10 SUITE B **TUCSON, AZ 85718;** 11 12 ALVERNON OPTICAL OF TUCSON -TANQUE VERDE 13 7125 E. TANQUE VERDE RD. **TUCSON, AZ 85715:** 14 15 ALVERNON OPTICAL INC. - GREEN VALLEY 16 230 W. CONTINENTAL RD. **SUITE. 408** 17 GREEN VALLEY, AZ 85622; 18 CASA ADOBES OPTICAL 19 6987 N. ORACLE RD. **TUCSON, AZ 85704**; 20 21 SILVERBELL EYE CARE 2500 N. SILVERBELL ROAD 22 **SUITE 180, TUCSON, AZ 85745**; 23 24 **FAMILY VISION CENTER** 7475 E. TANQUE VERDE ROAD 25 **TUCSON, AZ 85715**; 26 Respondents.

## RECITALS

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In the interest of a prompt and judicious settlement of this case, consistent with the public interest, statutory requirements, and responsibilities of the Arizona State Board of Dispensing Opticians ("Board"), and under A.R.S. §§ 32-1671, et seq., and 41-1092.07(F)(5), Respondents Alvernon Optical - Main, 440 N. Alvernon Way, Tucson, AZ, 85711, holder of Dispensing Optician Establishment License ("License") 1478; Alvernon Optical - Sunrise, 4747 E. Sunrise Dr, Tucson, AZ, 85718, holder of License 1479; Alvernon Optical - Green Valley, 230 W. Continental Rd., Green Valley, AZ, 85622, holder of License 1480; Alvernon Optical - Campbell, 2820 N. Campbell Ave., Tucson, AZ, 85719, holder of License 1481; Alvernon Optical - Tanque Verde, 7123 E. Tanque Verde Rd., Tucson, Az, 85715, holder of License 1482; Casas Adobes Optical, 6987 N. Oracle Rd., Tucson, AZ, 85704, holder of License 1483; Silverbell Eye Care, 2500 N. Silverbell Rd., Tucson, AZ, 85745, holder of License 1484; and Family Vision Center, 7454 E. Tanque Verde Rd., Tucson, AZ, 85715, holder of License 1485; and the Board enter into the following Recitals, Findings of Fact, Conclusions of Law and Order ("Consent Agreement") as the final disposition of this matter.

- 1. Respondents have read and understand this Consent Agreement; has been provided the opportunity to discuss this Consent Agreement with an attorney; and knowingly, intelligently, and voluntarily enter into this Consent Agreement.
- 2. Respondents understand they have the right to a public administrative hearing concerning this matter, at which they could present evidence and cross-examine witnesses. By entering into this Consent Agreement, Respondents knowingly and voluntarily relinquish all rights to such an administrative hearing, as well as all rights of rehearing, review, reconsideration, appeal, judicial review or any other administrative

and/or judicial action, concerning the matters set forth herein. Respondents affirmatively agree that this Consent Agreement shall be irrevocable.

- 3. Respondents understand that this Consent Agreement or any part of the Agreement may be considered in any future disciplinary action by the Board against Respondents.
- 4. Respondent acknowledges and agrees that the acceptance of this Consent Agreement is to settle Board case number 21-001. This settlement will solely settle this case, and does not preclude the Board from instituting any other proceedings as may be appropriate now or in the future. Furthermore, and notwithstanding any language in this Consent Agreement, this Consent Agreement does not preclude in any way any other state agency or officer or political subdivision of this state from instituting proceedings, investigating claims, or taking legal action as may be appropriate now or in the future relating to this matter or other matters concerning Respondent, including but not limited to violations of Arizona's Consumer Fraud Act or any other civil or criminal proceedings. Respondent acknowledges that, other than with respect to the Board, this Consent Agreement makes no representations, implied or otherwise, about the views or intended actions of any other state agency or officer or political subdivision of the state relating to this matter or other matters concerning Respondent.
- 5. All admissions made by Respondents in this Consent Agreement are made solely for the final disposition of this matter, and any related administrative proceedings or civil litigation involving the Board and Respondents. Any admissions made by Respondents in this Consent Agreement are not intended for any other use, such as in the context of another regulatory agency's proceedings, or civil or criminal proceedings, whether in the State of Arizona or in any other state or federal court.
- 6. Respondent acknowledge and agree that, upon signing this Consent Agreement and returning this document to the Board's Executive Director, Respondents may not

revoke acceptance of the Consent Agreement or make any modifications to the document, regardless of whether the Consent Agreement has been issued by the Board's Chairman. Any modification to this original document is ineffective and void unless mutually approved by the parties in writing.

- 7. Respondents understand that the foregoing Consent Agreement shall not become effective unless and until adopted by the Board and signed by its Chairman.
- 8. Respondents understand and agree that if the Board does not adopt this Consent Agreement, they will not assert as a defense that the Board's consideration of this Consent Agreement constitutes bias, prejudice, prejudgment or other similar defenses.
- 9. Respondents understand that this Consent Agreement is a public record that may be publicly disseminated by the Board.

## **FACTUAL ALLEGATIONS**

- 10. The Board has jurisdiction over the practice of Dispensing Opticians and Dispensing Optician Establishments pursuant to A.R.S. §§ 32-1671, et seq.
- 11. Respondent Alvernon Optical Main, 440 N. Alvernon Way, Tucson, AZ, 85711 ("Respondent A"), is the holder of License 1478 issued by the Board.
- 12. Respondent Alvernon Optical Sunrise, 4747 E. Sunrise Dr, Tucson, AZ 85718, ("Respondent B") is the holder of License 1479 issued by the Board.
- 13. Respondent Alvernon Optical Green Valley, 230 W. Continental Rd., Green Valley, AZ, 85622, ("Respondent C") is the holder of License 1480 issued by the Board.
- 14. Respondent Alvernon Optical Campbell, 2820 N. Campbell Ave., Tucson, AZ,85719, ("Respondent D") is the holder of License 1481 issued by the Board.
- 15. Respondent Alvernon Optical Tanque Verde, 7123 E. Tanque Verde Rd., Tucson, Az, 85715, ("Respondent E") is the holder of License 1482 issued by the Board.

- 16. Respondent Casas Adobes Optical, 6987 N. Oracle Rd., Tucson, AZ, 85704, ("Respondent F") is the holder of License 1483 issued by the Board.
- 17. Respondent Silverbell Eye Care, 2500 N. Silverbell Rd., Tucson, AZ, 85745, ("Respondent G") is the holder of License 1484 issued by the Board.
- 18. Respondent Family Vision Center, 7454 E. Tanque Verde Rd., Tucson, AZ, 85715, ("Respondent H") is the holder of License 1485 issued by the Board.
- 19. On or about December 6, 2019, AEG Arizona, LLC ("AEG") entered into a Transition Services Agreement ("Agreement 1") to purchase the optical establishments of Respondents A, B, C, D, E, and F. Agreement 1 did not specify a date certain for transfer of ownership of the optical establishments.
- 20. On or about June 7, 2020, AEG entered into a Transition Services Agreement ("Agreement 2") to purchase the optical establishment of Respondent H. Agreement 2 did not specify a date certain for transfer of ownership of the optical establishment.
- 21. On or about July 29, 2020, AEG entered into a Transition Services Agreement ("Agreement 3") to purchase the optical establishment of Respondent G. Agreement 3 did not specify a date certain for transfer of ownership of the optical establishment.
- 22. During the entire period of transition and transfer of ownership, Respondents A-H continued in operation as optical establishments.
- 23. At varying points, Respondents A-H fell out of compliance with Board requirements for the licensing of optical establishments due to the transfer of ownership.

## **ALLEGATIONS OF VIOLATION**

- 24. Pursuant to A.A.C. R4-20-113(B), "[o]ptical establishment... licenses are not transferable."
- 25. Pursuant to A.R.S. § 32-1699, "The board may impose a civil penalty of not more

than one thousand dollars against any person or entity acting without a license issued by the board in violation of this chapter."

26. The conduct and circumstances above constitute cause for a civil penalty against each Respondent.

## **ORDER**

Based on the above Findings of Fact and Conclusions of Law, IT IS ORDERED that:

- 1. Each Respondent shall pay a civil penalty in the amount of two-hundred and fifty dollars (\$250.00) for a total civil penalty amount of two-thousand dollars (\$2,000.00). This entire amount shall be paid within thirty (30) days of the effective date of this Consent Agreement, and shall be paid in the form of a cashier's check or money order made payable to the Board.
- 2. This Consent Agreement is effective upon its acceptance by the Board and by Respondents as evidenced by the respective signatures thereto. The effective date of this Consent Agreement is the date the Agreement is signed by the Board and by Respondents. If the Agreement is signed on different dates, the later date shall be the effective date. The parties may execute this Consent Agreement in counterparts, and the parties understand and agree that copies of this Agreement, including facsimile or e-mail signatures thereto, shall have the same force and effect as originals.
- 3. The failure of any Respondent to complete or comply with any of the requirements or provisions of this Consent Agreement may be a violation of this Consent Agreement and A.R.S. § 32-1693(A)(3) ("[v]iolation of any Board order or rule").
- 4. This Consent Agreement is subject to the Board's approval and will be effective only when the Board accepts it. In the event the Board in its discretion does not approve

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this Consent Agreement, it shall be deemed withdrawn and shall be of no evidentiary value, nor shall it be relied upon or introduced into evidence in any disciplinary action or legal matter by any party hereto, except that Respondents agree that should the Board reject this Consent Agreement and this case proceeds to hearing, Respondents shall assert no claim that the Board was prejudiced by its review and discussion of this document or of any records relating thereto.

The Board has determined that this Consent Agreement protects the public safety 5. and welfare and is more likely to rehabilitate Respondent than formal disciplinary proceedings.

> DATED AND EFFECTIVE this 15- day of SEPTEMBER 2021. ARIZONA BOARD OF DISPENSING OPTICIANS

Dale Nyblade

Chairman

Licensed Optician

CONSENT TO BOARD DECISION AND ORDER OF Respondents Alvernon Optical - Main, 440 N. Alvernon Way, Tucson, AZ, 85711, holder of Dispensing Optician Establishment License ("License") 1478; Alvernon Optical - Sunrise, 4747 E. Sunrise Dr, Tucson, AZ 85718, holder of License 1479; Alvernon Optical - Green Valley, 230 W. Continental Rd., Green Valley, AZ, 85622, holder of License 1480; Alvernon Optical -Campbell, 2820 N. Campbell Ave., Tucson, AZ, 85719, holder of License 1481; Alvernon Optical - Tanque Verde, 7123 E. Tanque Verde Rd., Tucson, Az, 85715, holder of License 1482; Casas Adobes Optical, 6987 N. Oracle Rd., Tucson, AZ, 85704, holder of License

1483; Silverbell Eye Care, 2500 N. Silverbell Rd., Tucson, AZ, 85745, holder of License 1484; and Family Vision Center, 7454 E. Tanque Verde Rd., Tucson, AZ, 85715, holder of License 1485

I, Patricia A. McCormick , am an authorized signer signing on behalf of Respondents in the above-entitled matter pending before the Arizona State Board of Dispensing Opticians ("Board").

I have read and understand everything contained in the foregoing Findings of Fact, Conclusions of Law and Order. If this Consent Agreement is approved by the Board, Respondents agree to its immediate issuance and to be bound by its terms.

I am aware of the right to consult legal counsel prior to entering into the Consent Agreement, and such consultation has either been obtained or is waived. I am aware of the right to an administrative hearing in this matter and, except as otherwise provided by the Consent Agreement, waive the same. No promises of any kind or nature whatsoever were made by the Board to induce consent to this Consent Agreement. I waive all rights on behalf of Respondents to challenge the foregoing Consent Agreement on appeal, or otherwise to the Board or any other court or tribunal.

I understand that a failure of Respondents to comply with any of the provisions of this Consent Agreement will be considered non-compliance and may constitute grounds for further disciplinary action; that the Consent Agreement may be considered in any future disciplinary action against Respondents; and that acceptance of this Consent Agreement does not preclude any other agency, subdivision or officer of this State or another city, county, state or federal agency from instituting other civil or criminal proceedings with respect to the conduct in issue as may be appropriate now or in the future.

I understand that the related investigation is a matter of public record.

By my signature, I verify that I have read and understand everything contained in

1	the foregoing Consent Agreement.
2	Dated this 26th day of August, 2021.
3	Pm.
4	Authorized Signer
5	Audiorized Signer
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7	Original of the foregoing filed this day of, 2021, with:
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9	The Arizona State Board of Dispensing Opticians 1740 W. Adams Street
10	Suite 3001
11	Phoenix, AZ 85007
	Copy of the foregoing mailed
12	this same day to:
13	Alvernon Optical – Main 440 N. Alvernon Way
14	Tucson, AZ, 85711
15	Alvernon Optical – Sunrise
16	4747 E. Sunrise Dr
17	Tucson, AZ, 85718
18	Alvernon Optical – Green Valley
19	230 W. Continental Rd. Green Valley, AZ, 85622
20	Alvernon Optical – Campbell
21	2820 N. Campbell Ave.
22	Tucson, AZ, 85719
23	Alvernon Optical – Tanque Verde 7123 E. Tanque Verde Rd.
24	Tucson, Az, 85715
25	Casas Adobes Optical
26	6987 N. Oracle Rd.
Martin Maria	Tucson, AZ, 85704

Silverbell Eye Care 2500 N. Silverbell Rd.
Tucson, AZ, 85745
Family Vision Center
7454 E. Tanque Verde Rd. Tucson, AZ, 85715
Scott Donald
Assistant Attorney General
License and Enforcement Section 2005 N. Central Ave.
Phoenix, Arizona 85004
By: 7 ( ) Bulan